

Integrating field and satellite data for spatially explicit inference on the density of threatened arboreal primates

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Abstract. Spatially explicit models of animal abundance are a critical tool to inform conservation planning and management. However, they require the availability of spatially diffuse environmental predictors of abundance, which may be challenging, especially in complex and heterogeneous habitats. This is particularly the case for tropical mammals, such as nonhuman primates, that depend on multi-layered and species-rich tree canopy coverage, which is usually measured through a limited sample of ground plots. We developed an approach that calibrates remote-sensing imagery to ground measurements of tree density to derive basal area, in turn used as a predictor of primate density based on published models. We applied generalized linear models (GLM) to relate 9.8-ha ground samples of tree basal area to various metrics extracted from Landsat 8 imagery. We tested the potential of this approach for spatial inference of animal density by comparing the density predictions for an endangered colobus monkey, to previous estimates from field transect counts, measured basal area, and other predictors of abundance. The best GLM had high accuracy and showed no significant difference between predicted and observed values of basal area. Our species distribution model yielded predicted primate densities that matched those based on field measurements. Results show the potential of using open-access and global remote-sensing data to derive an important predictor of animal abundance in tropical forests and in turn to make spatially explicit inference on animal density. This approach has important, inherent applications as it greatly magnifies the relevance of abundance modeling for informing conservation. This is especially true for threatened species living in heterogeneous habitats where spatial patterns of abundance, in relation to habitat and/or human disturbance factors, are often complex and, management decisions, such as improving forest protection, may need to be focused on priority areas.

Key words: abundance; basal area; GIS; Landsat; primates; remote sensing; spatially explicit models; tropical forest; Udzungwa.

INTRODUCTION

Species abundance estimation and the identification of factors predicting its variation is a pervasive goal in ecology and conservation biology and it is gaining increasing attention through the emergent potential of spatially explicit modeling (Guisan and Zimmermann 2000, Guisan and Thuiller 2005, Wulder and Franklin 2006, Anadón et al. 2010). This is particularly true for threatened species living in heterogeneous landscapes, where habitat structure and human disturbance vary according to complex spatial patterns. In these contexts, inference on abundance becomes truly informative

only when it accounts for such heterogeneity (Arroyo-Rodríguez and Fahrig 2014). Human-modified landscapes are also expanding in tropical areas, where forest fragmentation, degradation, and defaunation strongly affect species viability (Balmford and Whitten 2003, Arroyo-Rodríguez and Fahrig 2014). However, because of limited and substandard data, spatially explicit models are less exploited in tropical areas compared to temperate ones (Cayuela et al. 2009). Thus, integrating the use of field data with remote-sensing data represents an advantageous approach to ensure data quality for spatial modeling in these areas (Wilkie and Finn 1996, Proisy et al. 2007).

Remote-sensing data (especially Landsat) have been used to investigate several ecological questions, mainly related to land cover change, carbon storage, and habitat mapping (Schroeder et al. 2011, Legaard et al. 2015,

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Mayes et al. 2015, Twongyirwe et al. 2015). However, the resolution and quality of Landsat data do not always adequately represent environmental components that are most important for target species, such as vegetation structure, because optical satellite imagery is not three-dimensional (Hall et al. 1995, Duncanson et al. 2010). Therefore, methods are needed to characterize features of the forest structure that are relevant to target species, particularly for inaccessible areas where Landsat images represent the only feasible option.

In this study, we aimed to derive arboreal primate density from remote-sensing estimates of “tree stem basal area.” Basal area is typically related to canopy cover (Alexander 1971, Farr et al. 1989, Smith et al. 1992), but the two measures are not directly interchangeable (Cade 1997). In particular, mean basal area specifically measures the contribution of each tree to biomass and hence identifies forest structure, succession stage, and disturbance. Accordingly, it is a common measure of habitat quality for predicting animal abundance (Braithwaite et al. 1989, Medley 1993, Umapathy and Kumar 2000). This is especially true for nonhuman primates (Mbora and Meikle 2004, Cristóbal-Azkarate et al. 2005, Anderson et al. 2007, Struhsaker and Rovero 2007), which are globally threatened and in urgent need of conservation actions (Schipper et al. 2008, Schwitter et al. 2015). Our specific objectives were to (1) model measured basal area against a combination of different metrics and indices derived from Landsat imagery; (2) test the performance of the best-performing model to predict values of basal area outside of the sampled areas; (3) use the results to derive a spatial map of population density of the endangered (IUCN 2015) Udzungwa red colobus monkey (*Procolobus gordonorum*), based on previously published density–basal-area model; (4) compare the modeled primate density to previous predictions from field measurements; (5) further refine these estimates using environmental and human predictors.

MATERIALS AND METHODS

Study area

The Udzungwa Mountains are located in the south-central part of Tanzania and represent the largest mountain bloc in the Eastern Arc Mountains, covering an area larger than 19000 km² (Platts et al. 2011). Closed forest blocs, ranging in size from 12 to >500 km² (Marshall et al. 2010), are interspersed with drier habitats. We focused our study on the forest of Mwanihana, one of the largest forest blocs (150.6 km²) and under the protection of the Udzungwa Mountain National Park (UMNP) since 1992. Highly variable habitat types are distributed along the altitudinal gradient of the forest ranging from 351 to 2263 m above sea level. Deciduous forest is found in the lowland, with semi-deciduous and evergreen forests covering the sub-montane and montane areas, while *Hagenia* and bamboo-dominated forest characterize the upper montane level (Lovett et al. 2006). Woody vegetation density increases with elevation,

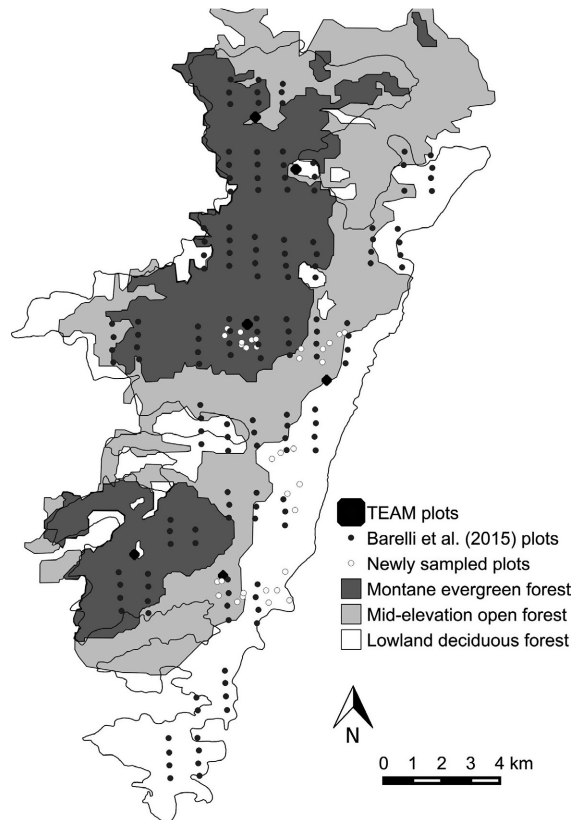


FIG. 1. Map of Mwanihana forest in the Udzungwa Mountains of Tanzania showing the distribution of three vegetation plots data sets used to derive basal area.

with the largest trees found at mid elevation, probably a result of human disturbance and tree respiration costs (Marshall et al. 2012).

Vegetation data

We derived field data for tree stems ≥ 10 cm DBH (diameter at breast height; 1.3 m above the ground) from three sources (Fig. 1): (1) From the Tropical Ecology Assessment and Monitoring Network (TEAM; data set ID 0327011905 4443),⁸ comprising six vegetation plots of 100×100 m on a horizontal plane (i.e., adjusted for slope), following a standardized protocol (TEAM Network 2011); (2) 153 vegetation plots of 25×25 m, sampled along line transects uniformly distributed in the forest (from Barelli et al. 2015); (3) 33 new randomly placed vegetation plots of 25×25 m, sampled in June–July 2015, stratified according to the predominant habitat gradient from disturbed lowland deciduous to mature montane evergreen forest. All newly sampled plots were placed in the center of Landsat pixels for concordance with our remote-sensing imagery. A summary of the vegetation data sets is provided in Data S1.

⁸ <http://www.teamnetwork.org/>

We obtained a single, cloud-free, L8 OLI/TIRS Landsat image (Landsat scene ID LC81670652014299LG N00, courtesy of the U.S. Geological Survey), acquired 26 October 2014.

Primate density data

Density data on the Udzungwa red colobus from across the study area were obtained from an earlier study (Cavada et al. 2016). This study used environmental covariates from the 153 plots established by Barelli et al. (2015) and distance sampling along line transects (Araldi et al. 2014), to estimate colobus density across the study area. Transect data were modeled as a hierarchical coupled logistic regression, assuming a Poisson distribution for the animal abundance at a transect level. The detection process of the distance sampling was modeled according to a multinomial distribution, assuming a monotonical decrease of the detection probability with the increasing distance of the animal groups from the observer. The influence of a series of environmental and human disturbance covariates was evaluated and incorporated on both the abundance and detection steps in the

model. Final density estimates at the plot level were derived from environmental correlates that included mean basal area, elevation and distance from disturbance (i.e., forest edge), that were found to significantly affect the abundance and detectability of the red colobus in the study area.

Analysis

Landsat metrics and vegetation indices.—To model basal area we first derived various Landsat metrics (Table 1). This began with a principal component analysis (PCA) to extract uncorrelated information from the different spectral bands provided by the Operational Land Imager (OLI) sensor of the Landsat 8 satellite. After applying PCA, we further compressed the spectral data applying the tasseled cap transformation (TCT) to represent forest structure (Cohen et al. 1995). We also used a GRASS module (Neteler et al. 2012), modified to derive vegetation-related spectral indices, combining specific bands of the Landsat 8 satellite images (Data S2). Such indices enhance the signal related to vegetation, while minimizing background edaphic, solar and atmospheric effects (Jackson and Huete 1991).

TABLE 1. Vegetation indices extracted from a Landsat 8 image for comparison to ground-sampled measures of mean basal area (MBA).

Index	Algorithm	Description	References
Simple ratio (SR)	$SR = \rho_{nir}/\rho_{red}$	index related to changes in the amount of green vegetation; reduces the effect of atmosphere and topography	Jordan (1969)
Corrected simple ratio (SRC)	$SRC = SR (1 - ((\rho_{mir} - \rho_{mir\ min})/(\rho_{mir\ max} - \rho_{mir\ min})))$	linearizes the relationships with parameters, accounting for MIR band	Brown et al. (2000)
Normalized difference vegetation index (NDVI)	$NDVI = (\rho_{nir} - \rho_{red})/(\rho_{nir} + \rho_{red})$	estimates the amount of vegetation, it assumes values that are normalized for the amount of incident radiation	Rouse et al. (1974)
Corrected normalized difference vegetation index (NDVIC)	$NDVIC = NDVI (1 - ((\rho_{mir} - \rho_{mir\ min})/(\rho_{mir\ max} - \rho_{mir\ min})))$	linearizes the relationships with parameters, accounting for MIR band	Nemani et al. (1993)
Modified simple ratio (MSR)	$MSR = (\rho_{nir}/\rho_{red} - 1)/((\rho_{nir}/\rho_{red})^{1/2} + 1)$	linearizes the relationship between the index and biophysical parameters	Chen (1996)
Reflectance ratio (RR)	$RR = \rho_{mir}/\rho_{red}$	substitutes NIR band in SR with MIR band, which is more sensitive in distinguishing complex and stratified forest structures	Tonolli et al. (2011)
Normalized difference water index (NDWI)	$NDWI = (\rho_{nir} - \rho_{mir})/(\rho_{nir} + \rho_{mir})$	sensitive to vegetation water	Hardinsky et al. (1983)
Specific leaf area vegetation index (SLAVI)	$SLAVI = \rho_{nir}/(\rho_{red} + \rho_{mir})$	estimates specific leaf area	Lymburner et al. (2000)
Red green ratio (RGR)	$RGR = \rho_{red}/\rho_{green}$	sensitive to different foliar pigments	Gamon and Surfus (1999)
Red green index (RGI)	$RGI = (\rho_{green} - \rho_{red})/(\rho_{green} + \rho_{red})$	normalization of RGR results	Coops et al. (2006)
Green normalized difference vegetation index (GNDVI)	$GNDVI = (\rho_{nir} - \rho_{green})/(\rho_{nir} + \rho_{green})$	estimates the amount of green vegetation, exploiting the green channel, sensitive to chlorophyll	Gitelson et al. (1996)
Normalized canopy index (NCI)	$NCI = (\rho_{mir} - \rho_{green})/(\rho_{mir} + \rho_{green})$	linearizes the relationships with parameters, accounting for MIR and green bands	Vescovo and Gianelle (2008)
Tasseled cap angle (TCA)	$TCA = \arctan(TCG/TCB)$	index based on the angle formed by brightness (TCB) and greenness (TCG) in the vegetation plane, calculated from TCT (tasseled cap transformation)	Powell et al. (2010)

Notes: NIR, near-infrared; MIR, mid-infrared.

Model building.—To relate field-sampled values of basal area to the metrics calculated from the Landsat images, we used all newly sampled plots, plus a subsample of the TEAM and Barelli et al. (2015) plots. The subsample plots were those showing at least 75% overlap with Landsat pixels ($N = 115$). In each plot, we calculated the basal area (BA, m^2) for each sampled tree ($\text{DBH} \geq 10 \text{ cm}$) as $\text{BA} = \pi \times (\text{DBH}/2)^2$. We then derived the mean basal area (MBA) for each plot, for use as the response variable (following Barelli et al. [2015] and Cavada et al. [2016]).

We used generalized linear modeling (GLM) to investigate the relationship between the MBA field-sampled values and the Landsat metrics and indices. Prior to building the models, we checked for the presence of collinearity among predictor variables to remove those providing identical information. We thus calculated variance inflation factor (VIF), using a cut off value of 10 (Marquardt 1970, Hair et al. 2006, Kennedy 2008) and we retained the uncorrelated predictors P1 (first component of the principal component analysis), P2 (second component of the principal component analysis), RGI (red green index), RR (red ratio), and SLAVI (specific leaf area vegetation index). From an empirical cumulative distribution function (ECDF) of the response variable, we decided to use an inverse Gaussian error distribution for the GLM with an inverse squared link function (Fig. 2).

We built models using all the possible combinations of the retained Landsat predictors and we used the Akaike information criterion (AIC) to rank the candidate models. We considered those models showing $\Delta\text{AIC} < 2$ as equivalent (Anderson and Burnham 2002) and defined an average model by determining Akaike weights (w_i) for each of the best models, using the packages AICcmodavg (Mazerolle 2015) and MUMin (Barton 2014) in R version

3.2.1 (R Core Team 2015). For validating the model, we randomly split the MBA data set into two subsets, one for model fitting with 75% of the data ($N = 109$) and one with the remaining 25% of the data ($N = 37$). We then used bootstrapping to verify the goodness of fit of the selected average model: We simulated 1,000 data sets from the subset derived for model fitting (i.e., the one considering 75% of the data) and then defined a function that returned the fit-statistic Pearson χ^2 . We validated the model by checking the distribution of the residuals for the validation subset. We evaluated model bias by comparing both observed and predicted values, to a null model of mean residual prediction equal to zero, using Wilcoxon's signed rank test (for $\alpha = 0.05$).

Predictions: MBA values and RC density.—To predict density values for groups of red colobus across the entire Mwanihana forest, we first derived spatially diffused values for MBA from our best fitting averaged model, giving an MBA value for each Landsat pixel in the entire study area. We removed those values of MBA that appeared as outliers in the derived data set (i.e., $> 0.5 \text{ m}^2$). We believed these outliers were found for those pixels where our model was not able to derive realistic MBA values, inside those areas close to forest borders as well as in areas located at high elevation (above 1,800 m), where trees are sparse and are replaced by other vegetation (Lovett et al. 2006).

Besides MBA, previous modeling of red colobus group density was most effective using elevation (negative sign, i.e. density decreased with increasing elevation) and distance from disturbance/forest edge (negative sign; Cavada et al. 2016). We therefore calculated spatially diffused values for these variables from a digital elevation model (DEM) and from a shapefile of the forest edge, respectively. We then used a published hierarchical model (Cavada et al. 2016) to predict primate density across the Mwanihana forest using these two variables and spatially diffused values for MBA derived from our model.

Finally, we verified the accuracy of our approach by comparing the predicted primate density to density estimates in Cavada et al. (2016) for those plots in Barelli et al. (2015; $N = 65$) that were excluded while building the MBA model (see *Model building* above). These density estimates were plot-specific values derived from the hierarchical analysis described above, and hence were effectively the only field based and site-specific density estimates that could be used for such validation. We compared observed and predicted values using OP regression (Piñeiro et al. 2008) and we compared the slope and the intercept of the fitted model with the 1:1 line.

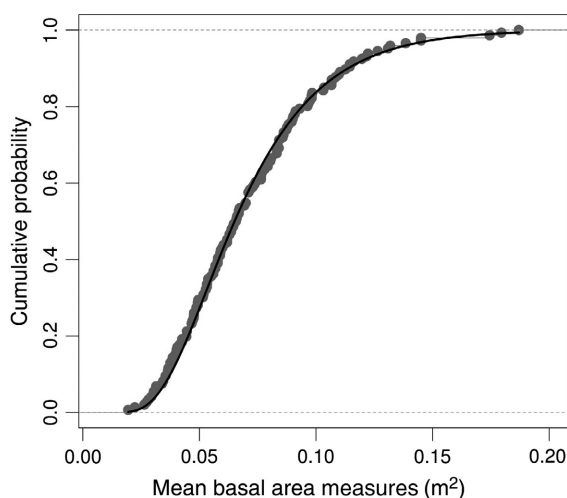


FIG. 2. Empirical cumulative distribution function of ground-sampled measures of mean basal area (MBA, gray dots) collected at tree plots in Mwanihana forest, Udzungwa Mountains, Tanzania. The black line shows the fit of the theoretical inverse Gaussian distribution.

RESULTS

After selecting the plots suitable for the analysis, we retained 61 plots from Barelli et al. (2015) and 54 TEAM sub-plots. Adding these to the 33 newly sampled plots, we obtained an overall data set of 148 plots and their corresponding sampled MBA values. We built models using

TABLE 2. Akaike information criterion (AIC) value for high-ranked models ($\Delta\text{AIC} < 2$) of mean basal area (MBA) modeled as a function of predictors derived from a Landsat 8 image.

Model	AIC	ΔAIC
MBA ~ P1 + RGI	-620.70	0
MBA ~ P1 + RGI + RR	-619.89	0.81
MBA ~ P1 + SLAVI	-619.46	1.24
MBA ~ P1	-619.097	1.607
MBA ~ P1 + P2 + RGI	-619.096	1.609
MBA ~ P1 + RR + SLAVI	-618.98	1.72

Note: P1, first component of the principal component analysis; P2, second component of the principal component analysis; RGI, red green index; RR, red ratio; SLAVI, specific leaf area vegetation index.

TABLE 3. Estimates and standard errors for the parameters retained in the averaged model for mean basal area (MBA) modeled as a function of metrics and indices extracted from a Landsat 8 image.

Model-averaged coefficients	Estimate	SE	<i>P</i>
P1	-37.92	19.61	0.05
RGI	31.71	15.43	0.04
RR	19.40	16.45	0.2
SLAVI	27.09	16.18	0.09
P2	18.15	24.64	0.4

Note: P1, first component of the principal component analysis; P2, second component of the principal component analysis; RGI, red green index; RR, red ratio; SLAVI, specific leaf area vegetation index.

all the possible combinations of the metrics and indices calculated from the Landsat images, including a null model. We retained six competing models of MBA (Table 2) that were averaged for predictions. The resulting average model retained the first and the second components of the PCA and the indices RGI, RR, and SLAVI (Table 3). This model showed adequate fit based on the bootstrap *P* value based on the chi-square statistic ($P = 0.66$) and no significant difference between observed and predicted MBA values ($W = 602$, $P = 0.92$). The MBA model failed to derive plausible values in those areas located at high altitudes as well as close to the forest edge (Fig. 3). We obtained a spatially explicit map of estimated density of red colobus groups across the whole study area, as influenced by the covariates MBA (predicted from our model and with a positive effect), elevation and distance from disturbance (i.e., from the forest edge), both with a negative effect, according to the hierarchical model defined in Cavada et al. (2016) (Fig. 4).

The OP regression yielded a R^2 of 0.84 attesting the accuracy of the predicted red colobus group density values as derived by using the spatially diffused values for MBA obtained from the GLM analysis (Fig. 5).

DISCUSSION

We have successfully predicted and mapped the spatial density of an endangered primate, hence showing how

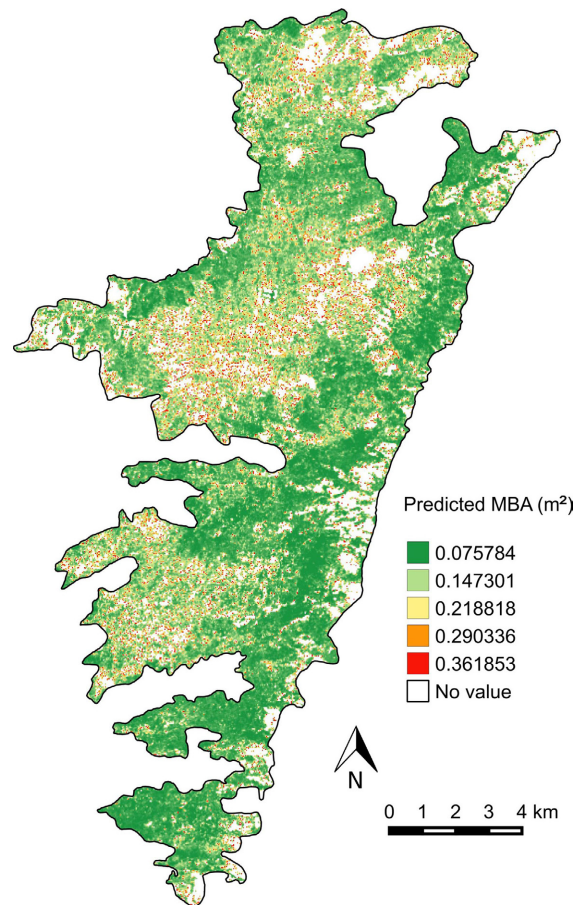


FIG. 3. Predicted values of mean basal area (MBA) across Mwanihana forest using the average model of ground-sampled values vs. Landsat 8 metrics. White areas show pixels where the model failed to predict plausible values of MBA (i.e., $<0.5 \text{ m}^2$). (Color figure can be viewed at wileyonlinelibrary.com.)

modeling ecologically relevant predictors of abundance can improve predictions on species distribution (Franklin 1995), across a broad spatial extent. The species' density pattern highlighted in our map is consistent with results in previous studies that were based solely on ground data and hence with limited spatial inference (Struhsaker and Rovero 2007, Barelli et al. 2015, Cavada et al. 2016).

Our best supported models showed high accuracy in predicting MBA values, making it a reliable tool for inference beyond the ground measurement sites, with a good level of confidence and precision. MBA is a highly relevant descriptor of the canopy structure as well as a significant covariate that has emerged in different studies as influential for predominantly arboreal primates (Struhsaker and Rovero 2007, Cavada et al. 2016). As a parameter quantifying forest cover, MBA is also a recognized proxy for habitat degradation and fragmentation (Urquiza-Haas et al. 2007). The best-fit model we derived from GLM retained the first two components of the PCA. This fit the acknowledged evidence that Landsat products

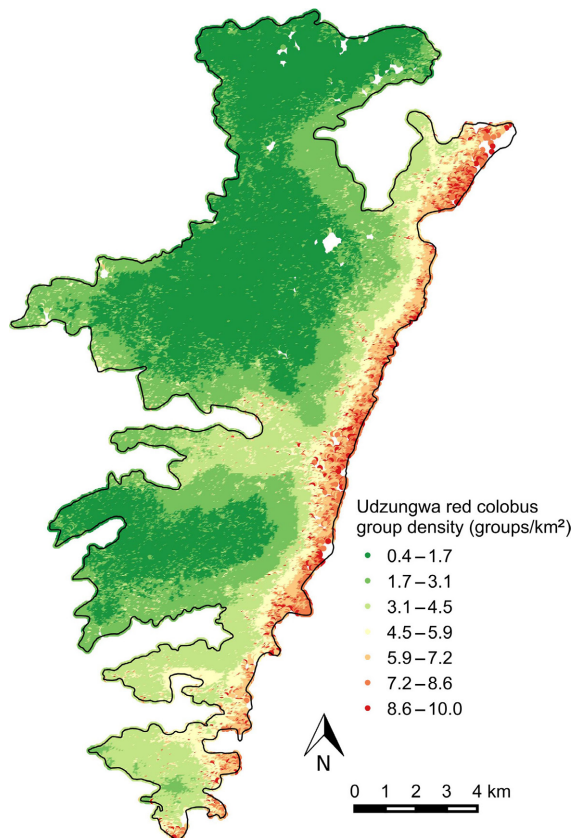


FIG. 4. Predicted Udzungwa red colobus group density in Mwanihana forest using a species density model (Cavada et al. 2016) derived from remotely sensed mean basal area. (Color figure can be viewed at wileyonlinelibrary.com.)

are able to discriminate forested habitats, through the information provided by specific spectral channels (Blair and Baumgardner 1977, Jakubauskas 1996, Eklundh et al. 2001, Cohen and Goward 2004), in terms of the differential reflectance emitted by the higher strata of the canopy. The information provided by the Landsat sensors can highlight specific vegetation components (Thenkabail et al. 2000, Almeida and De Souza Filho 2004); in fact the bands of the visible spectrum and of the short-wave infrared (SWIR) can be correlated with several forest structures, including basal area (Muukkonen and Heiskanen 2005, 2007, Hall et al. 2006). The relationship with MBA shown by the first PCA component of our model might be due to a large presence of trees with great basal area and tall canopy, causing pronounced shadowing, which translates in a lower reflectance.

Among the vegetation indices retained by the models, RGI can be interpreted as a proxy of the forest phenology at the time when the Landsat image was acquired. Since such an index provides information on the ratio of red to green reflectance, the positive effect we found on MBA could be due to the contribution the index generally gives in evaluating the size of the tree crowns, which is related to the basal area extent. During that period, a high

number of trees did show a breakdown of green pigments and leaves fading from green to yellow and red (Motohka et al. 2010). The positive effect we found for RR was also confirmed by other studies that found a correlation between the visible and the SWIR band of the Landsat with several physical structures of the forest canopy, including basal area (Muukkonen and Heiskanen 2005, Hall et al. 2006, Tonolli et al. 2011). In addition, the positive relationship we found between MBA and SLAVI index is not surprising given that the index accounts for the sensitivity of the mid-infrared wavelength to the structure of the canopy, especially for heterogeneous forest compositions (Lymburner et al. 2000).

As the main goal of our study, we used the predicted and spatially diffused values of MBA to derive a map of the Udzungwa red colobus density. This matched, at a wider and spatially diffuse scale, the density estimates found in prior studies (Barelli et al. 2015, Cavada et al. 2016). In particular, it confirmed the red colobus's preference for lower-elevation forest close to its edge, variably disturbed and covered with regenerating vegetation, that is recognized as an important food source for the species (Barelli et al. 2015). Densities decreased where MBA values increased, i.e., in the interior and old growth forest and at higher elevation. This, in turn, indicates resilience of the animal to anthropogenic disturbance and again the preference shown by the species for forest edges. Such a counterintuitive density trend is clearly visualized in the spatially explicit map we obtained. This provides novel indications for the protection of forest areas that are located at the interface with intense anthropogenic activity.

We have confirmed that the use of remote sensing represents a robust tool to improve model performance and to reduce the costs of data collection (He et al. 2015),

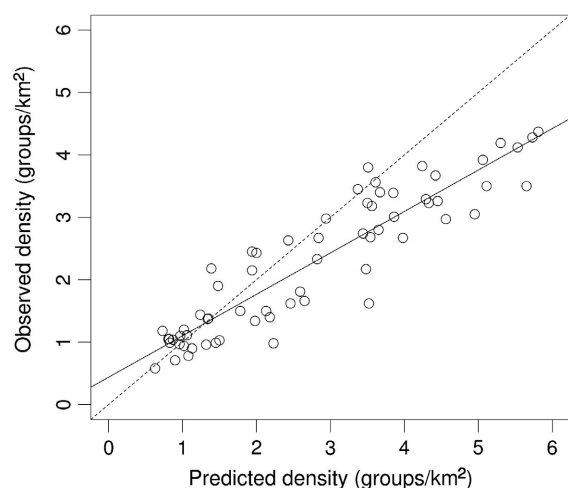


FIG. 5. Linear regression (dotted line) of observed vs. predicted values of Udzungwa red colobus density (groups/km²) among test vegetation plots ($N = 66$). A 1:1 relationship is indicated by the solid line. Observations are from Cavada et al. (2016).

which implies bypassing the sample size limits associated with field measurements. We stress the importance of carefully evaluating the process regarding the selection of adequate satellite images, given the sensitivity for seasonality shown by some vegetation indices. High resolution images should certainly be preferred when deriving remote-sensing based predictor variables that can be essential to improve predictive species modeling. Nonetheless, the quality of such images can often be poor, due to cloud coverage that hides the underlying canopy, i.e., the carried amount of information is lower than the spectral noise (Woodcock and Strahler 1987, Ricotta et al. 1999). This phenomenon consistently arises in images of tropical mountain forests, since clouds accumulate relatively more in dense forest cover areas due to evapotranspiration (Nagendra and Rocchini 2008). Still, we demonstrated that since high resolution products in some cases cannot be used, medium resolution images like Landsat proved to be an excellent source of data for applications both in the study of tropical forest structure and to develop reliable species distribution models. However, caution is recommended regarding the generalization of our approach, which is mainly relevant to comparable study systems in terms of both habitat and target species characteristics.

CONCLUSIONS

Spatially explicit, predictive models of animal abundance can offer a powerful insight on the species status and distribution, helping to identify those sites where urgent intervention is needed in terms of protection and conservation. Overcoming the lack of high resolution and high quality remote-sensing products as well as of spatially diffused covariates of abundance is essential, as it can firmly boost the usefulness of species distribution models. By focusing on the endangered Udzungwa red colobus, we showed the potential of this approach to derive accurate spatially diffused estimates of animal density and distribution. This approach is particularly suitable for species for which data availability is incomplete and spatial coverage is heterogeneous, affecting the capacity of developing site-specific conservation and restoration programs where urgent forest and species protection is needed.

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